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FORM PTO-1390 (Modified) (REV 11-98) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR)
SJ-0011	09/622568

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US99/03171	12 February 1999	19 February 1998

TITLE OF INVENTION

COMPOSITIONS AND METHODS FOR SENSITIZING AND INHIBITING GROWTH OF HUMAN TUMOR CELLS

APPLICANT(S) FOR DO/EO/US

DANKS, Mary K. , et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ has been transmitted by the International Bureau.
   c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
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By Deborah Ehret
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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/622568	PCT/US99/03171	SJ-0011

21. The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

		CALCULATIONS PTO USE ONLY
☐	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO **$970.00**	
☒	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO **$840.00**	
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☐	International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$670.00**	
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	22 - 20 =	2	x $18.00	**$36.00**	
Independent claims	2 - 3 =	0	x $78.00	**$0.00**	
Multiple Dependent Claims **(check if applicable)**.			☐	**$0.00**	
	TOTAL OF ABOVE CALCULATIONS =			**$876.00**	
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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32,257
REGISTRATION NUMBER

18 August 2000
DATE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATION

Docket No. SJ-0811

Serial No.	Filing Date	Patent No.	Issue Date
Not Yet Assigned	Herewith		

Applicant/ Patentee: **DANKS ET AL.**

Invention: **COMPOSITIONS AND METHODS FOR SENSITIZING AND INHIBITING GROWTH OF HUMAN TUMOR CELLS**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **St. Jude Children's Research Hospital**
ADDRESS OF ORGANIZATION: **332 North Lauderdale Street**
Memphis, Tennessee

TYPE OF NONPROFIT ORGANIZATION:

- ☐ University or other Institute of Higher Education
- ☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3))
- ☒ Nonprofit Scientific or Educational under Statute of State of The United States of America
  Name of State: **Tennessee** Citation of Statute:
- ☐ Would Qualify as Tax Exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3)) if Located in The United States of America
- ☐ Would Qualify as Nonprofit Scientific or Educational under Statute of State of The United States of America if Located in The United States of America
  Name of State: Citation of Statute:

I hereby declare that the above-identified nonprofit organization qualifies as a nonprofit organization as defined in 37 C.F.R. 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification to be filed herewith.
- ☐ the application identified above.
- ☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the above-identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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FULL NAME _____
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☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

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Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Barbara S. Couta, Ph.D.
TITLE IN ORGANIZATION: Director, Technology Licensing
ADDRESS OF PERSON SIGNING: St. Jude Children's Research Hospital
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Memphis, Tennessee

SIGNATURE: Barbara J. Couta DATE: 8/14/00

WO 99/42593

PCT/US99/03171

09/622568

COMPOSITIONS AND METHODS FOR SENSITIZING AND INHIBITING GROWTH OF HUMAN TUMOR CELLS

Introduction

This invention was supported in part by funds from the U.S. Government NIH Grant Nos. CA-66124 and CA-63512 and the U.S. Government may therefore have certain rights in the invention.

Field of the Invention

This invention relates to novel polynucleotides identified and sequenced which encode a carboxylesterase enzyme, polypeptides encoded by these polynucleotides and vectors and host cells comprising these vectors which express the enzyme. This enzyme is capable of metabolizing chemotherapeutic prodrugs and inactive metabolites into active drug. The instant invention thus relates to compositions comprising these polynucleotides and methods for sensitizing selected tumor cells to a chemotherapeutic prodrug by transfecting the tumor cells with a polynucleotide placed under the control of a disease-specific responsive promoter. Sensitized tumor cells can then be contacted with a chemotherapeutic prodrug to inhibit tumor cell growth. Compositions of the present invention can also be used in combination with chemotherapeutic prodrugs to purge bone marrow of tumor cells. The invention further includes novel drug screening assays for identifying chemotherapeutic prodrugs that are activated by this enzyme.

Background of the Invention

Cancer is a disease resulting from multiple changes at the genomic level. These changes ultimately lead to the

malfunction of cell cycle machinery and finally to autonomous cell proliferation. Neoplastic transformation involves four types of genes: oncogenes, tumor-suppressor genes, mutator genes, and apoptotic genes. Different types of cancer can involve alteration of any one or any combination of these genes.

Proto-oncogenes of the *myc* family are overexpressed in many different types of human tumors including tumors of the breast, colon, cervix, head and neck, and brain. Many solid tumors amplify or overexpress c-*myc*, with up to a 50-fold increase in c-*myc* RNA in tumor cells relative to normal cells having been reported (Yamada, H. et al. 1986. *Jpn. J. Cancer Res.* 77:370-375). For example, three of the six most common solid tumors, including up to 100% of colon adenocarcinomas, 57% of breast cancers, and 35% of cervical cancers, demonstrate increased levels of c-*myc* protein. Enforced expression of c-*myc* in nontumorigenic cells causes immortalization but not transformation; however, elevated levels of c-*myc* protein are rare in benign cancers and normal differentiated tissue. While solid tumors can oftentimes be removed surgically, overexpression of c-*myc* has been linked with amplification of the c-*myc* gene and correlated with poor prognosis and an increased risk of relapse (Nagai, M.A. et al. 1992. *Dis. Colon Rectum* 35:444-451; Orian, J.M. et al. 1992. *Br. J. Cancer* 66:106-112; Riou, G. et al. 1987. *Lancet* 2:761-763; Field, J.K. et al. 1989. *Oncogene* 4:1463-1468).

Another member of the *myc* oncogene family, N-*myc*, has been linked with development of neuroblastomas in young children. Overexpression of this member of the *myc* family of proto-oncogenes has also been correlated with advanced stages of disease and poor prognosis (Brodeur, G.M. et al. 1997. *J. Ped. Hematol. Oncol.* 19:93-101). Primary tumors for this specific condition usually arise in the abdomen and as many as 70% of patients have bone marrow metastases at diagnosis

(Matthay, K.E. 1997. *Oncology* 11:1857-1875). Treatment of children with Stage 4 disease using surgery, chemotherapy, and purged autologous or allogeneic marrow transplant produces a progression-free survival rate of 25 to 49% in patients four years post transplant (Matthay, K.K. et al. 1994. *J. Clin. Oncol.* 12:2382-2389). Most relapses after autotransplant occur at sites of bulk disease and/or previously involved sites. Estimates of the rate of local recurrence vary depending upon the study. However, recurrence of tumor at an original site has been estimated to occur in approximately 25% of high risk neuroblastoma patients.

Further, definitive evidence from gene marking studies indicates that autologous marrow, free of malignant cells by standard clinical and morphologic criteria, contributes to relapse at both medullary and extramedullary sites (Rill, D.R. et al. 1994. *Blood* 84:380-383). In a recent pilot clinical study, bone marrow involvement at diagnosis correlated with specific relapse at that site in children receiving autologous purged marrow (Matthay, K.K. et al. 1993. *J. Clin. Oncol.* 11:2226-2233). Accordingly, improvements in surgery, detection of tumor margins, development of new anticancer drugs or application of novel therapies are required to prevent local tumor regrowth. In particular, more effective treatment strategies are needed for elimination of "minimal residual disease" or "MRD" which results from the presence of a small number of tumor cells at the site of disease after treatments such as tumor resection or purging bone marrow of tumor cells.

CPT-11 (irinotecan, 7-ethyl-10-[4-(1-piperidino)-1-piperidino]carbonyloxycamptothecin) is a prodrug currently under investigation for the treatment of cancer that is converted to the active drug known as SN-38 (7-ethyl-10-hydroxy-camptothecin) (Tsuji, T. et al. 1991. *J. Pharmacobiol. Dynamics* 14:341-349; Satoh, T. et al. 1994. *Biol. Pharm. Bull.* 17:662-664). SN-38 is a potent inhibitor of topoisomerase I

(Tanizawa, A. et al. 1994. *J. Natl. Cancer Inst.* 86:836-842; Kawato, Y. et al. 1991. *Cancer Res.* 51:4187-4194), an enzyme whose inhibition in cells can result in DNA damage and induction of apoptosis (Hsiang, Y.-H. et al. 1989. *Cancer Res.* 49:5077-5082). The specific enzyme responsible for activation *in vivo* of CPT-11 has not been identified, although serum or liver homogenates from several mammalian species have been shown to contain activities that convert CPT-11 to SN-38 (Tsuji, T. et al. 1991. *J. Pharmacobiol. Dynamics* 14:341-349; Senter, P.D. et al. 1996. *Cancer Res.* 56:1471-1474; Satoh, T. et al. 1994. *Biol. Pharm. Bull.* 17:662-664). Uniformly, these activities have characteristics of carboxylesterase (CE) enzymes (Tsuji, T. et al. 1991. *J. Pharmacobiol. Dynamics* 14:341-349; Senter, P.D. et al. 1996. *Cancer Res.* 56:1471-1474; Satoh, T. et al. 1994. *Biol. Pharm. Bull.* 17:662-664). In fact, SN-38 can be detected in the plasma of animals and humans minutes after the administration of CPT-11 (Stewart, C.F. et al. 1997. *Cancer Chemother. Pharmacol.* 40:259-265; Kaneda, N. et al. 1990. *Cancer Res.* 50:1715-1720; Rowinsky, E.K. et al. 1994. *Cancer Res.* 54:427-436), suggesting that a CE enzyme present in either serum or tissues can convert the camptothecin analog to its active metabolite.

CEs are ubiquitous serine esterase enzymes that are thought to be involved in the detoxification of a variety of xenobiotics. CEs are primarily present in liver and serum, however, the physiological role of this class of enzymes has yet to be identified. A recent biochemical analysis of 13 CEs compared their ability to metabolize CPT-11 to SN-38. While the efficiency of conversion varied between enzymes, those isolated from rodents were the most efficient (Satoh, T. et al. 1994. *Biol. Pharm. Bull.* 17:662-664). The amino acid sequence of a rabbit liver CE has been disclosed (Korza, G. and J. Ozols. 1988. *J. Biol. Chem.* 263:3486-3495). In addition, there are currently 13 cDNA sequences encoding CE

in the Genbank and EMBL databases, including a rat serum and rat liver microsomal CE. Interestingly, CEs purified from human tissues demonstrated the least efficient conversion of CPT-11 to SN-38, with less than 5% of the prodrug being converted to active drug (Leinweber, F.J. 1987. *Drug Metab. Rev.* 18:379-439; Rivory, L.P. et al. 1997. *Clin. Cancer Res.* 3:1261-1266).

In addition to metabolism to SN-38, in humans CPT-11 is also metabolized to a compound known as APC (Haaz, M.C. et al. 1998. *Cancer Res.* 58:468-472). APC has little, if any, anti-tumor activity and is not converted to an active metabolite in humans (Rivory, L.P. et al. 1996. *Cancer Res.* 56:3689-3694).

In preclinical studies, CPT-11 administered to immune-deprived mice bearing human tumor xenografts produces complete regression of glioblastomas, rhabdomyosarcomas (RMS), neuroblastomas, and colon adenocarcinomas (Houghton, P.J. et al. 1995. *Cancer Chemother. Pharmacol.* 36:393-403; Houghton, P.J. et al. 1993. *Cancer Res.* 53:2823-2829). However, maintenance of tumor regression in studies with CPT-11 appears to be dependent upon drug scheduling, suggesting that viable tumor cells survive therapy (i.e., minimal residual disease (MRD)). These studies also showed a steep dose-response relationship between dose of drug administered and induction of tumor regression. For example, 20 mg of CPT-11/kg/day given daily for 5 days for two weeks produced complete regressions of Rh18 RMS xenografts, while 10 mg/kg/day given on the same schedule produced only partial tumor regression. Similar effects were seen when mice bearing SJGC3A colon adenocarcinoma xenografts were treated with 40 mg CPT-11/kg compared to a 20 mg/kg dose.

Early clinical trials with CPT-11 indicate that the prodrug also has anti-tumor activity *in vivo* against many different types of solid tumors in humans. However, myelosuppression and secretory diarrhea limit the amount of

drug that can be administered to patients. Accordingly, before this promising anti-cancer agent can be used successfully, these dose-limiting toxicities must be overcome.

The development of new effective treatment strategies for cancer is dependent upon the availability of specific drug screening assays. Specific drug screening assays can involve isolated target tissue models, i.e., isolated heart, ileum, vasculature, or liver from animals such as rabbits, rats, and guinea pigs, wherein the target tissue is removed from the animal and a selected activity of that target tissue is measured both before and after exposure to the candidate drug. An example of a selected activity measured in drug screening assays to identify new cancer agents is the activity of enzymes such as topoisomerase I or II, which are known to modulate cell death. Such assays can also be used to screen for potential prodrugs which are converted to the active metabolite in selected tissues or to identify selected tissues capable of converting prodrug to its active metabolite.

However, any molecular event that is shown to be modified by a novel class of compounds can be developed as a screening assay for selection of the most promising compounds for therapeutic development. In fact, in recent years the idea of modulating cells at the genomic level has been applied to the treatment of diseases such as cancer. Gene therapy for treatment of cancer has been the focus of multiple clinical trials approved by the National Institutes of Health Recombinant DNA Advisory Committee, many of which have demonstrated successful clinical application (Hanania et al. 1995. *Am. Jour. Med.* 99:537-552; Johnson et al. 1995. *J. Am. Acad. Derm.* 32(5):689-707; Barnes et al. 1997. *Obstetrics and Gynecology* 89:145-155; Davis et al. 1996. *Current Opinion in Oncology* 8:499-508; Roth and Cristiano 1997. *J. Natl. Canc. Inst.* 89(1):21-39). To specifically target malignant cells and spare normal tissue, cancer gene therapies must combine selective gene delivery with specific gene expression,

specific gene product activity, and, possibly, specific drug activation. Significant progress has been made in recent years using both viral (retrovirus, adenovirus, adeno-associated virus) and nonviral (liposomes, gene gun, injection) methods to efficiently deliver DNA to tumor sites. Genes can be transfected into cells by physical means such as scrape loading or ballistic penetration, by chemical means such as coprecipitation of DNA with calcium phosphate or liposomal encapsulation; or by electro-physiological means such as electroporation. The most widely used methods, however, involve transduction of genes by means of recombinant viruses, taking advantage of the relative efficiency of viral infection processes. Current methods of gene therapy involve infection of organisms with replication-deficient recombinant viruses containing the desired gene. The replication-deficient viruses most commonly used include retroviruses, adenoviruses, adeno-associated viruses, lentiviruses and herpes viruses. The efficacy of viral-mediated gene transfer can approach 100%, enabling the potential use of these viruses for the transduction of cells *in vivo*.

Adenovirus vector systems in particular have several advantages. These include the fact that non-dividing cells can be transduced; transduced DNA does not integrate into host cell DNA, thereby negating insertional mutagenesis; the design of adenoviral vectors allows up to 7 kb of foreign DNA to be incorporated into the viral genome; very high viral titers can be achieved and stored without loss of infectivity; and appropriate plasmids and packaging cell lines are available for the rapid generation of infectious, replication-deficient virus (Yang, N.S. 1992. *Crit. Rev. Biotechnol.* 12:335-356). The effectiveness of adenoviral-mediated delivery of genes into mammalian cells in culture and in animals has been demonstrated.

To increase the specificity and safety of gene therapy for treatment of cancer, expression of the therapeutic gene

within the target tissue must also be tightly controlled. For tumor treatment, targeted gene expression has been analyzed using tissue-specific promoters such as breast, prostate and melanoma specific promoters and disease-specific responsive promoters such as carcinoembryonic antigen, HER-2/neu, Myc-Max response elements, DF3/MUC. Dachs, D.U. et al. 1997. *Oncol. Res.* 9(6-7):313-25. For example, the utility of herpes simplex virus thymidine kinase (HSV-TK) gene ligated with four repeats of the Myc-Max response element, CACGTG (SEQ ID NO:22), as a gene therapy agent for treatment of lung cancer with ganciclovir was examined in c-, L- or N-myc-overexpressing small cell lung cancer (SCLC) cell lines (Kumagai, T. et al. 1996. *Cancer Res.* 56(2):354-358). Transduction of the HSV-TK gene ligated to this CACGTG (SEQ ID NO:22) core rendered individual clones of all three SCLC lines more sensitive to ganciclovir than parental cells *in vitro*, thus suggesting that a CACGTG-driven HSV-TK gene may be useful for the treatment of SCLC overexpressing any type of *myc* family oncogene. Additional experiments with *c-myc* have focused on the use of the ornithine decarboxylase (ODC) promoter gene. Within the first intron of the ODC gene are two CACGTG "E boxes" that provide binding sites for the *c-myc* protein when bound to its partner protein known as max. Mutation of the E box sequence results in the inability of *c-myc* to transactivate the ODC promoter. Previous reports indicate that reporter constructs containing the ODC promoter fused upstream of the chloramphenicol acetyltransferase gene immediately adjacent to the second exon were activated in cells that overexpress *c-myc* (Bello-Fernandez, C. et al. 1993. *Proc. Natl Acad. Sci. USA* 90:7804-7808). In contrast, transient transfection of promoter constructs in which the E boxes were mutated (CACGTG (SEQ ID NO:22) to CACCTG (SEQ ID NO:25) demonstrate significantly lower reporter gene activity. These data suggest that it is possible to activate

transcription of specific genes under control of the *c-myc* responsive ODC promoter. In the case of *N-myc*, *N-myc* protein is a basic helix-loop-helix (BHLH) protein that can dimerize with proteins of the same class. *N-myc* dimerizes with the BHLH protein max to form a complex that binds to the CACGTG motif present in gene promoters, such as ODC, resulting in transactivation and expression of specific genes containing this sequence (Lutz, W. et al. 1996. *Oncogene* 13:803-812). Studies in a neuroblastoma cell line and tumors have shown that binding of *N-myc* to its consensus DNA binding sequence correlates with *N-myc* expression, data that indicate that the level of *N-myc* in neuroblastoma cells is a determining factor in expression of proteins under control of promoters containing the CACGTG sequence (Raschella, G. et al. 1994. *Cancer Res.* 54:2251-2255). Inhibition of expression of the *c-myc* gene via antisense oligonucleotides as a means for inhibiting tumor growth has also been disclosed (Kawasaki, H. et al. 1996. *Artif. Organs* 20(8):836-48).

In the present invention, polynucleotides encoding a carboxylesterase enzyme or active fragments thereof and polypeptides encoded thereby which are capable of metabolizing the chemotherapeutic prodrug CPT-11 and its inactive metabolite APC to active drug SN-38 are disclosed. Use of this enzyme in combination with APC renders this inactive metabolite a useful chemotherapeutic prodrug. It has also been found that compositions comprising a polynucleotide of the present invention and a disease-specific responsive promoter can be delivered to selected tumor cells to sensitize the tumor cells to the chemotherapeutic prodrug CPT-11, thereby inhibiting tumor cell growth.

Summary of the Invention

An object of the present invention is to provide polynucleotides encoding a carboxylesterase capable of

metabolizing a chemotherapeutic prodrug and inactive metabolites thereof to active drug.

Another object of the present invention it to provide polypeptides encoded by these polynucleotides.

Another object of the present invention is to provide vectors comprising these polynucleotides and host cells containing these vectors which express a carboxylesterase.

Another object of the present invention is to provide a composition comprising a polynucleotide encoding a carboxylesterase and a disease-specific responsive promoter of selected tumor cells or a promoter such as CMV.

Another object of the present invention is to provide a method for sensitizing tumor cells to a chemotherapeutic prodrug which comprises transfecting selected tumor cells with a composition comprising a polynucleotide encoding carboxylesterase and a disease-specific responsive promoter of the selected tumor cells.

Another object of the present invention is to provide a method of inhibiting growth of selected tumor cells which comprises sensitizing selected tumor cells to a chemotherapeutic prodrug metabolized to active drug by a carboxylesterase and administering a chemotherapeutic prodrug.

Another object of the present invention is to provide a method of using APC as a prodrug in the treatment of cancer.

Yet another object of the present invention is to provide drug screening assays for identification of compounds activated by a carboxylesterase.

Brief Description of the Figures

Figure 1 shows the homology of N-terminal amino acid sequences of rabbit liver carboxylesterase (CE) enzyme (SEQ ID NO:1) with other known CEs including rabbit (P12337;SEQ ID NO:2), human (P23141;SEQ ID NO:3), rat (P10959;SEQ ID NO:4), and mouse (P23953;SEQ ID NO:5). The vertical lines indicate the homology of the sequenced CE with the rabbit protein

sequence in the Swissprot database. Underlined residues in the rabbit sequence indicate amino acids conserved among all the CE proteins.

Figure 2 shows the design of the oligonucleotides used for degenerate PCR. The amino acid sequence (SEQ ID NO:6) and the coding sequence (SEQ ID NO:7) of residues 1 through 5 of rabbit CE are depicted along with the corresponding oligonucleotide Rab51 (SEQ ID NO:8) and Rab52 (SEQ ID NO:9). Also depicted are the amino acid sequence (SEQ ID NO:10), the coding sequence (SEQ ID NO:11) and the reverse complement (SEQ ID NO:12) of residues 518 through 524 of rabbit CE, along with oligonucleotide Rab31 (SEQ ID NO:13) and Rab32 (SEQ ID NO:14).

Figure 3 shows the alignment of N-terminal signal sequences of the rabbit liver CE (SEQ ID NO:15) and other known CEs including rat (P10959; SEQ ID NO:16), human (P23141; SEQ ID NO:17), rat (16303; SEQ ID NO:18) and mouse (P23953; SEQ ID NO:19). Residues common to all CEs are underlined and the 18 residue leader sequence is indicated in italics. The Swissprot Accession numbers are indicated in parentheses.

Figure 4 shows the complete coding sequence of the rabbit liver CE (SEQ ID NO:20) and the amino acid sequence encoded thereby (SEQ ID NO:21). The 1698 bp ORF encodes a 62.3 kDa protein. The N-terminal hydrophobic leader sequence is in italics, the 5' and 3' RACE sequences are underlined and the potential active site serine is indicated by an asterisk. The carboxylesterase B-1 and B-2 motifs, at amino acids 208-223 and 114-124 are double underlined.

Figure 5 is a linegraph comparing % cell survival, depicted on the Y-axis, at various concentrations of CPT-11, depicted on the X-axis. Control Cos7 cells (filled squares) are approximately 350-fold more sensitive to CPT-11 than Cos7 cell transfected with CE (filled triangles).

Figure 6 is a linegraph showing the conversion of APC, depicted on the X-axis at nanomolar concentrations, to SN-38, depicted on the Y-axis at nanomolar concentrations, *in vitro* by the activity of rabbit liver CE given at doses of 0 (filled cross), 10 (filled hexagon), 25 (filled triangle), 50 (filled circle) or 100 (filled square) units. Data presented represent the mean response at each dose level.

Figure 7 is a linegraph showing a comparison of the sensitization, depicted as % survival on the Y-axis, of U-373 glioma cells exposed to APC, depicted as log[APC] at concentrations from 10^{-8} to 10^{-5} M on the X-axis, from *in situ* expression of rabbit liver CE (filled squares) and human alveolar macrophage CE (filled circles). Cells were exposed for 2 hours to APC.

Figure 8 provides the chemical structures of CPT-11, APC and SN-38.

Figure 9A, 9B, and 9C are linegraphs showing the responses of mice bearing Rh30 and Rh30pIRES$_{rabbit}$ rhabdosarcoma xenografts to CPT-11 treatment. Each line on each graph shows the growth of an individual tumor. The tumor growth rate is depicted on the Y-axis of each graph in terms of tumor volume and is plotted as a function of time in weeks (X-axis). Figure 9A depicts cells expressing rabbit CE (Rh30pIRES$_{rabbit}$) not treated with CPT-11. Figure 9B depicts cells expressing rabbit CE (Rh30pIRES$_{rabbit}$) and then treated with CPT-11 and shows complete tumor regression, even out to 12 weeks. Figure 9C depicts control cells (Rh30) exposed to CPT-11 and shows initial regression but regrowth.

Figure 10 is a linegraph showing the effects of CPT-11 treatment on U373 glioblastoma xenografts expressing rabbit CE. Mice bearing xenografts were treated with CPT-11 (7.5 mg/kg for 5 days) for three treatment cycles. The tumor growth rate is depicted on the Y-axis in terms of tumor volume and is plotted as a function of time in weeks (X-axis). Open circles depict the tumor volume of untreated U373 xenografts

expressing rabbit CE. Filled triangles depict the response of control xenografts (no rabbit CE) treated with CPT-11. Filled squares depict the response of cells expressing rabbit CE and treated with CPT-11. The data show that tumor regression was seen only in treated cells expressing rabbit CE. Each point represents the mean of 14 tumors in 7 individual mice.

Detailed Description of the Invention

CPT-11 is a promising anti-cancer prodrug, that when given to patients, is converted to its active metabolite SN-38 by a human carboxylesterase. However, the human enzyme is relatively inefficient and less than 5% of the prodrug is metabolized to SN-38 (Rivory, L.P. et al. 1997. *Clin. Cancer Res.* 3:1261-1266). In patients, this prodrug is also metabolized to APC (Haaz, M-C. et al. 1998. *Cancer Res.* 58:468-472). APC has little, if any, active anti-tumor activity and is not converted to an active metabolite in humans (Rivory, L.P. et al. 1996. *Cancer Res.* 56:3689-3694). Accordingly, high concentrations of this prodrug must be administered to achieve effective levels of active drug *in vivo*. However, myelosuppression and secretory diarrhea limit the amount of prodrug that can be administered to patients.

In the present invention, a method of sensitizing tumor cells to reduce the effective dose of a prodrug required to inhibit tumor cell growth is provided which comprises transfecting selected tumor cells with a polynucleotide under the control of a disease-specific responsive promoter such as a *myc* promoter. The present invention exploits the tumor-specific overexpression of oncogenes of the *myc* family to produce selective killing with a chemotherapeutic prodrug.

In accordance with one aspect of the present invention there are provided polynucleotides which encode a carboxylesterase capable of metabolizing a chemotherapeutic prodrug and inactive metabolites thereof to active drug. By

"polynucleotides" it is meant to include any form of DNA or RNA such as cDNA or genomic DNA or mRNA, respectively, encoding this enzyme or an active fragment thereof which are obtained by cloning or produced synthetically by well known chemical techniques. DNA may be double- or single-stranded. Single-stranded DNA may comprise the coding or sense strand or the non-coding or antisense strand. Thus, the term polynucleotide also includes polynucleotides which hybridize under stringent conditions to the above-described polynucleotides. As used herein, the term "stringent conditions" means at least 60% homology at hybridization conditions of 60°C at 2xSSC buffer. In a preferred embodiment, the polynucleotide comprises the cDNA depicted in Figure 4 (SEQ ID NO:20) or a homologous sequence or fragment thereof which encodes a polypeptide having similar activity to that of this rabbit liver CE enzyme. Due to the degeneracy of the genetic code, polynucleotides of the present invention may also comprise other nucleic acid sequences encoding this enzyme and derivatives, variants or active fragments thereof. The present invention also relates to variants of this polynucleotide which may be naturally occurring, i.e., allelic variants, or mutants prepared by well known mutagenesis techniques.

Also provided in the present invention are vectors comprising polynucleotides of the present invention and host cells which are genetically engineered with vectors of the present invention to produce CE or active fragments of this enzyme. Generally, any vector suitable to maintain, propagate or express polynucleotides to produce the enzyme in the host cell may be used for expression in this regard. In accordance with this aspect of the invention the vector may be, for example, a plasmid vector, a single- or double-stranded phage vector, or a single- or double-stranded RNA or DNA viral vector. Such vectors include, but are not limited to, chromosomal, episomal and virus-derived vectors e.g., vectors derived from bacterial plasmids, bacteriophages, yeast episomes, yeast chromosomal elements, and viruses such as

baculoviruses, papova viruses, SV40, vaccinia viruses, adenoviruses, fowl pox viruses, pseudorabies viruses and retroviruses, and vectors derived from combinations thereof, such as those derived from plasmid and bacteriophage genetic elements, cosmids and phagemids. Selection of an appropriate promoter to direct mRNA transcription and construction of expression vectors are well known. In general, however, expression constructs will contain sites for transcription initiation and termination, and, in the transcribed region, a ribosome binding site for translation. The coding portion of the mature transcripts expressed by the constructs will include a translation initiating codon at the beginning and a termination codon appropriately positioned at the end of the polypeptide to be translated. Examples of eukaryotic promoters routinely used in expression vectors include, but are not limited to, the CMV immediate early promoter, the HSV thymidine kinase promoter, the early and late SV40 promoters, the promoters of retroviral LTRs, such as those of the Rous Sarcoma Virus(RSV), and metallothionein promoters, such as the mouse metallothionein-I promoter. Vectors comprising the polynucleotides can be introduced into host cells using any number of well known techniques including infection, transduction, transfection, transvection and transformation. The polynucleotides may be introduced into a host alone or with additional polynucleotides encoding, for example, a selectable marker. Host cells for the various expression constructs are well known, and those of skill can routinely select a host cell for expressing the rabbit liver CE enzyme in accordance with this aspect of the present invention. Examples of mammalian expression systems useful in the present invention include, but are not limited to, the C127, 3T3, CHO, HeLa, human kidney 293 and BHK cell lines, and the COS-7 line of monkey kidney fibroblasts. Alternatively, as exemplified herein, rabbit CE can be expressed in Spodoptera frugiperda Sf21 cells via a baculovirus vector (see Example 3).

The present invention also relates to compositions comprising a polynucleotide of the present invention which

have been found to be useful in sensitizing tumor cells to CPT-11 cytotoxicity by combination therapy of the prodrug and a CE enzyme. The present invention thus provides methods for sensitizing tumor cells to a prodrug oncologic agent. In this context, by "sensitizing" it is meant that the effective dose of the prodrug can be reduced when the compositions and methods of the present invention are employed. In a case where the prodrug's therapeutic activity is limited by the occurrence of significant toxicities, or dose-limiting toxicities, sensitization of tumor cells to the prodrug is especially useful.

In one embodiment, selected tumor cells are transfected with the cDNA of the present invention and expressed via a well known promoter such as the CMV promoter or, more preferably, via a disease-specific responsive promoter which specifically targets the selected tumor cells. Targeted gene expression in tumor cells has been achieved using disease-specific responsive promoters such as carcinoembryonic antigen, HER-2/neu, Myc-Max response elements, and DF3/MUC. Thus, a composition comprising the cDNA rabbit liver CE and a disease-specific responsive promoter such as these can be used to transfect and sensitize tumor cells containing the disease-specific responsive promoter. Accordingly, the present invention provides a means for exploiting tumor-specific expression associated with a disease-specific responsive promoter to provide for selective therapy of tumors.

Since *myc* expression is deregulated in a wide variety of human tumors, *myc* is an attractive target for chemotherapeutics. No known drug specifically interacts with either the *c-myc* or *N-myc* protein. However, cells overexpressing a *myc* oncogene can be targeted with compositions of the present invention comprising a polynucleotide of the present invention under the control of a *myc* specific promoter. Thus, using the present invention the tumor-specific overexpression of *c-myc* and N-*myc* can be

exploited to produce selective killing with a chemotherapeutic agent. Specifically, transcription of genes under the control of the promoter containing the CACGTG (SEQ ID NO:22) binding sequence of either N-*myc* or *c-myc* are upregulated in cells overexpressing these *myc* genes, producing tumor cell-specific expression of the polynucleotide encoding the CE that is capable of activating the chemotherapeutic prodrug CPT-11.

The ability of a promoter to regulate gene expression was confirmed in cell lines overexpressing *c-myc*, SJ-G2 and NCI-H82 cells (which overexpress *c-myc*) and Rh28 cells (which have no detectable levels of *c-myc* protein). In these experiments, cells were transiently transfected with a plasmid containing the ODC promoter controlling expression of a reporter gene for chloramphenicol acetyltransferase. A mutated ODC promoter in which *c-myc* transactivation domains have been inactivated by point mutations was used as a control. A 4 to 5 fold increase in reporter activity was observed in SJ-G2 cells and NCI-H82 cells, respectively, following transfection with the plasmid containing native ODC promoter as compared to the mutant promoter sequence. No significant increase in promoter activity was observed in Rh28 cells. These results are consistent with c-*myc*-mediated activation of transcription by binding to the cognate sequence within the ODC promoter. In addition, the levels of activation were similar to that seen with reporter constructs when enforced co-expression of *c-myc* occurs during transfection of CV-1 and NIH-3T3 cells.

The cDNA depicted in Figure 4 (SEQ ID NO:20) was isolated by synthesizing degenerate oligonucleotides from amino acid residues 1-5 (SEQ ID NO:6) and 518-524 (SEQ ID NO:10) of a published rabbit CE protein sequence (Korza, G. and J. Ozols. 1988. *J. Biol. Chem.* 263:3486-3495). The oligonucleotides constructed are shown in Figure 2. To amplify the rabbit cDNA by PCR, cDNA was prepared from rabbit liver poly A+ mRNA and multiple samples were prepared that

contained the combination of oligonucleotide primers. Using PCR techniques, a single product was obtained from one set of reactions that upon DNA sequencing was shown to encode the rabbit CE.

Since this represented a partial cDNA, both 5' and 3' RACE were used to amplify the entire coding sequence. Unique primers were designed from the partial DNA sequence. These oligonucleotides were used in combination with the AP1 primer to amplify sequences prepared from Marathon adapted rabbit liver cDNA. Touchdown PCR (Don, R.H. et al. 1991. *Nucleic Acids Res.* 19:4008) was performed in accordance with the Marathon cDNA amplification protocol.

The complete sequence of the cDNA (SEQ ID NO:20) and the derived amino acid sequence (SEQ ID NO:21) of a rabbit liver CE are shown in Figure 4. Northern analysis of the poly A+ mRNA from the rabbit liver with a [^{32}P]-labeled cDNA confirmed the presence of a single transcript of approximately 1.84 knt. No cross reaction was observed with any other mRNA, consistent with this cDNA representing a unique RNA species.

Further, comparison of the amino acid sequence of the polypeptide encoded by the cDNA of the present invention with the published amino acid sequence for rabbit CE (Swissprot Accession Number P12337; Korza, G. and J. Ozols. 1988. *J. Biol. Chem.* 263:3486-3495) showed three mismatches. In addition, the polypeptide encoded by the cDNA of the present invention contains an 8 amino acid insert and an 18 amino acid leader sequence at the N-terminus which the published sequence does not contain. Accordingly, another aspect of the present invention relates to novel polypeptides encoded by polynucleotides of the present invention. By "polypeptide" it is meant to include the amino acid sequence of SEQ ID NO: 21 depicted in Figure 4 and fragments, derivatives and analogs which retain essentially the same biological activity and/or function as this rabbit liver CE.

The rabbit cDNA was expressed in bacteria. The 1.7 kb cDNA was ligated into pET32b and transformed into *E. coli*

L21(DE3). Two clones were isolated containing the rabbit cDNA either in the correct (pETRABFL) or incorrect (pETLFBAR) orientation with respect to the T7 promoter. Following induction of expression in liquid culture with IPTG, cell extracts were analyzed by SDS-PAGE and Western blotting. A 75 kDa protein resulted from the fusion of the rabbit CE with the thioredoxin protein in pETRABFL. Western analysis with the rat liver microsomal CE antibody and horseradish peroxidase (HRP)-conjugated protein S confirmed that the 75 kDa protein encoded by pETRABFL contained the rabbit CE. Since other CEs are located in the ER and the primary sequence of the rabbit enzyme contains similar characteristic leader and anchor sequences (Satoh, T. and M. Hosokawa. 1995. *Toxicol. Lett.* 82/83:439-445), it is likely that the compartmentalization of the CE to the ER is required for enzymatic activity. Indeed, overexpression of the human alveolar macrophage CE in *E. coli* failed to generate CE activity, however transfection of mammalian cells with the same cDNA yielded significant conversion of o-NPA by whole cell extracts. In addition, the rabbit CE demonstrated greater than 85% homology with human alveolar macrophage CE yet the latter enzyme failed to convert CPT-11 to SN-38 in mammalian cells. This indicates that while CEs may have a broad range of substrate specificities, the efficiency with which similar enzymes within different species can utilize a particular substrate varies dramatically.

To confirm that the cDNA encoded CE, the 1.7 kb *Eco*RI fragment was ligated into pCIneo to generate pCIRABFL and the plasmid transiently transfected into Cos7 cells. pCIneo contains the SV40 origin of replication allowing plasmid amplification in cells expressing the large T antigen, such as Cos7. The IC_{50} value for CPT-11 for cells expressing the CE was approximately 8-80 fold, and most typically about 56 fold, less than that of the parent cell line thus indicating that the enzyme has sensitized mammalian cells to CPT-11 (see Figure 5).

Rabbit CE has also been expressed in Spodoptera frugiperda S21 cells via a baculovirus vector. CE secreted in these cells was concentrated by ultrafiltration to approximately 1 ml containing approximately 30,000 micromoles/millimeter of enzyme activity.

Another aspect of the present invention relates to the ability of compositions of the present invention comprising a polynucleotide encoding a carboxylesterase and a disease-specific responsive promoter of selected tumor cells to sensitize the tumor cells to a chemotherapeutic prodrug. The ability of the combination of a rabbit CE of the present invention and CPT-11 to sensitize human tumor cells to CPT-11 was examined. Experiments were first performed to confirm that the metabolite produced by the activity of a CE of the present invention is biologically active *in vitro*. Rh30 cells were then exposed to the products of each reaction for one hour and the percentage of growth inhibition was determined. As expected, Rh30 cells exposed to 1 to 5 units of CE that had been inactivated by heating produced no inhibition of cell growth. In contrast, reaction products of CPT-11 incubated with 1 to 5 units of active CE produced a 30-60% inhibition of cell growth. These data are consistent with the conversion of CPT-11 to SN-38 by CE in these cells.

The CE activity of extracts of the transfected cells was then determined. The IC_{50} values for CPT-11 in Rh30 rhabdomyosarcoma cells that had been stably transfected with a rabbit liver CE cDNA of the present invention or the pIRES vector alone were also determined. Cells transfected with the CE cDNA contained approximately 60-fold more CE activity than control cells. The IC_{50} of CPT-11 for Rh30pIRES cells (no CE cDNA) was 4.33×10^{-6} M while the IC_{50} for the $Rh30pIRES_{rabbit}$ cells was 5.76×10^{-7} M. Therefore, the transfected cells were more than 8-fold more sensitive to CPT-11. These data are consistent with an increased conversion of CPT-11 to SN-38 in the cells transfected with a CE of the present invention.

Experiments have also been conducted which demonstrate that a CE of the present invention is capable of converting

the inactive metabolite APC to SN-38. Structures of these compounds are shown in Figure 8. Figure 6 shows the results of experiments *in vitro* where APC is converted to SN-38 in a concentration-dependent manner by a rabbit CE of the present invention. These data confirm the unique ability of a CE of the present invention to activate the prodrug CPT-11, as well as to activate one of its metabolites. Further, experiments in U-373 cells that express a CE of the present invention showed that these cells were sensitized to the growth inhibitory effects of APC (see Figure 7).

In vivo efficacy of the CE of the present invention to sensitize tumor cells to CPT-11 has also been demonstrated in two different types of tumor cells. Experiments conducted in a mouse model demonstrate that a CE of the present invention is capable of sensitizing cells to the growth inhibitory effects of CPT-11.

In a first set of experiments, the ability of rabbit CE to sensitize Rh30 rhabdomyosarcoma human tumor cells grown as xenografts in immune-deprived mice was demonstrated. In this preclinical model, expression of the transfected cDNA for rabbit CE was maintained for at least 12 weeks. Importantly, tumors were advanced (greater than 1 cm^3 in volume) before treatment with CPT-11 began. As depicted in Figure 9B, tumors in mice expressing CE and treated with 2.5 mg CPT-11/kg/day for five days each week for two weeks (one cycle of therapy), repeated every 21 days for a total of three cycles (over 8 weeks), regressed completely and did not regrow during the 12 weeks of the study. In contrast, tumors that did not express the CE regressed only transiently with CPT-11 treatment, with regrowth occurring within one week after CPT-11 treatment stopped (see Figure 9C).

In a second set of experiments, human U373 glioblastoma xenografts that express rabbit liver CE were shown to be more sensitive to CPT-11 than xenografts transfected with a control plasmid (no rabbit CE). Xenografts established from cells

transfected with the plasmid encoding rabbit CE regressed completely while xenografts from cells transfected with the control plasmid showed stable disease but no significant regression (see Figure 10).

Thus, these data support the use of the combination of polynucleotide encoding a CE of the present invention and CPT-11 to reduce the amount of CPT-11 needed to produce inhibition of tumor cell growth, or to sensitize the tumor cells to CPT-11. These data also support the use of the present invention to allow for decreased dosage with CPT-11 in cancer patients, thus reducing the likelihood of dose-limiting toxicity. Further, as shown by these experiments, APC, which is relatively nontoxic, can also be used as a chemotherapeutic prodrug in combination with a CE of the present invention to produce tumor-specific cell death while minimizing toxic side effects.

The present invention thus also relates to a method for treating cancer with reduced side effects. In one embodiment, a polynucleotide of the present invention is inserted into a viral vector using a gene transfer procedure. Preferred viral vectors include, but are not limited to, retroviral, adenoviral, herpesvirus, vaccinia viral and adeno-associated viral vectors. In this embodiment, it is preferred that the vector further comprise a disease-specific responsive promoter. The vectors can then be injected into the site of tumor removal along with systemic administration of a prodrug such as CPT-11 to inhibit the recurrence of tumors due to residual tumor cells present after surgical resection of a tumor.

Alternatively, the viral vector can be used to purge bone marrow of contaminating tumor cells during autologous transplant. Bone marrow purging via a viral vector such as adenovirus which expresses a CE of the present invention is performed *ex vivo*. Efficiency of removal of contaminating tumor cells is determined by PCR assays of purged samples. Data indicate that the method of the present invention is applicable to an animal model for purging bone marrow of

neuroblastoma cells such as that described in Example 6. Methods for preparation of the vectors, modes of administration, and appropriate doses of prodrug are well known to those of skill in the art. Other methods of gene delivery such as chemical and liposome-mediated gene transfer, receptor-mediated DNA uptake, and physical transfer by gene guns or electroporation may also be employed.

Another method for delivering CEs to selected tumor cells involves antibody direct enzyme prodrug therapy (ADEPT). In this method, human tumors are targeted by conjugation of tumor-specific marker antibody with a molecule such as rabbit liver CE. Cellular internalization of the complex and release of active CE would be achieved, leading to CPT-11 activation that is specific for cells expressing the marker antigen. Since the array of marker molecules expressed upon the cell surface is different for each tumor type, markers specific for each targeted tumor type can be selected as appropriate. Similarly, the use of avidin-biotin conjugated molecules to target tumor cells (Moro, M. et al. 1997. *Cancer Res.* 57:1922-1928) is also applicable for localization of CEs to the cell surface followed by drug activation at the targeted cell.

The rabbit liver CE is localized in the endoplasmic reticulum. Removal of the six terminal amino acids results in secretion of active protein into the extracellular milieu. Both the secreted and the endoplasmic reticulum-localized protein can convert CPT-11 to SN-38; therefore, the potential exists for a bystander effect from cells expressing the secreted enzyme. A similar bystander effect has been demonstrated for other enzyme/prodrug combinations, such as HSVtk and ganciclovir (Dilber, M.S. et al. 1997. *Cancer Res.* 57:1523-1528), and results in increased cytotoxicity. Extracellular activation of CPT-11 may result in more efficient eradication of MRD in that uninfected neighboring tumor cells would be killed by exogenously produced SN-38. Gene therapy protocols with a secreted CE in combination with CPT-11 may therefore be more appropriate for the elimination of residual tumor tissue. Accordingly, in this embodiment,

it may be preferred to use a fragment of a polynucleotide encoding a polypeptide which is secreted. For example, for rabbit liver, a cDNA encoding a protein which does not contain the six terminal amino acids depicted in Figure 4, or a cDNA encoding a rabbit liver CE enzyme consisting of amino acids 1-543 (SEQ ID NO:26) of Figure 4, may be preferred. Additionally, recent reports indicate that the tethering of drug activating enzymes to the extracellular cell surface can result in anti-tumor activity in human tumor xenografts when combined with appropriate prodrug (Marais, R. et al. 1997. *Nature Biotech.* 15:1373-1377). A tethered enzyme generates a local bystander effect since the protein is not free to circulate in the plasma. Attachment of a CE of the present invention to the cell surface should result in local extracellular activation of CPT-11 to SN-38 and enhance local cell kill. Purging bone marrow of contaminating tumor cells will be accomplished by an intracellular enzyme, whereas eradication of MRD is better achieved by an enzyme that activates CPT-11 at an extracellular location.

CEs of the present invention cleave the COOC bond present as an ester linkage in CPT-11 to generate SN-38 (see Figure 8). Since this enzyme may also catalyze the activation of other compounds that contain such a linkage, the present invention also provides assays for screening for compounds that contain this and related moieties. In one embodiment, the assay of the present invention is conducted in a cell systems using, for example, yeast, baculovirus, or human tumor cell lines. In this embodiment, compounds activated by CE will be identified and assessed for anticancer activity by growth inhibition or clonogenic cell survival assays using cells expressing or lacking a CE of the present invention. Alternatively, compounds can be screened in cell-free assays using a CE of the present invention isolated from host cells expressing this enzyme. In this embodiment, the ability of the enzyme to cleave a COOC ester linkage of a candidate compound is measured directly in a standard enzyme assay buffer system containing a CE of the present invention. Known

concentrations of candidate compounds can be added to assay tubes containing a biological buffer such as HEPES at pH 7.4 and the enzyme and incubated at 37°C for a selected amount of time. The reaction is then terminated by addition of methanol. The assay tubes are then centrifuged and the supernatant analyzed for the presence of cleaved compound fragment. Analysis of the supernatant can be performed by any number of well known techniques including, but not limited to, spectrofluorometric analysis, high pressure liquid chromatography or mass spectrometry. Compounds identified in these screening assays as potential anticancer prodrugs may require chemical modification for optimize their anti-tumor activity.

The following non-limiting examples are provided to further illustrate the claimed invention.

EXAMPLES

Example 1: Identification of CEs

A CE enzyme suitable for converting CPT-11 to the active form, SN-38 was identified by testing a variety of samples. This screening included enzymes from a series of sera, cell extracts and commercially available CEs using a rapid fluorometric assay. Certain of these enzymes show activity in metabolism of CPT-11.

Since partially purified CEs were commercially available, several of these were also tested for their ability to metabolize CPT-11. Both rabbit and pig liver CEs metabolized CPT-11 efficiently. The commercially available pig CE contained several proteins. However, the major bands were very similar in molecular weight and did not separate using SDS-PAGE. In contrast, the rabbit preparation consisted of only one major and one minor protein. Therefore, the rabbit proteins were chosen for further study.

The rabbit proteins were subjected to automated N-terminal amino acid sequencing. Both bands yielded protein sequences indicating that the peptides were not N-terminally blocked. The derived amino acid sequences were analyzed by

computer searches using the Fasta and BLAST comparison programs. Band 1 (approximately 60 kDa) demonstrated significant homology with several CE sequences, including a rabbit CE, present in the GenBank and Swissprot databases (Figure 1). However, the nucleic acid sequence encoding rabbit CE protein has not been disclosed. In addition, comparison of the amino acid sequence of the polypeptide encoded by the cDNA of the present invention with the published amino acid sequence for rabbit CE showed three mismatches. Further, the polypeptide encoded by the cDNA of the present invention contains an 8 amino acid insert and an 18 amino acid leader sequence at the N-terminus which the published sequence does not contain. Thus, the published amino acid sequence of a rabbit liver carboxylesterase protein (Swissprot Accession Number P12337; Korza, G. and J. Ozols. 1988. *J. Biol. Chem.* 263:3486-3495) is different from the polypeptide encoded by the cDNA of the present invention.

Example 2: Cloning of rabbit carboxylesterase

The cDNA encoding the rabbit CE protein of the present invention was isolated by synthesizing degenerate oligonucleotides from amino acid residues 1-5 (SEQ ID NO:6) and 518-524 (SEQ ID NO:10) of the published protein sequence of a rabbit liver CE (Korza, G. and J. Ozols. 1988. *J. Biol. Chem.* 263:3486-3495). The oligonucleotides constructed are shown in Figure 2. To amplify the rabbit cDNA by PCR, cDNA was prepared from rabbit liver poly A+ mRNA and multiple samples were prepared that contained the combination of oligonucleotide primers. Following heating at 95°C for five minutes, the polymerase was added at the annealing temperature and reactions cycled as follows: 94°C 45 seconds, annealing temperature (46-58°C) 1 minute, 72°C 90 seconds. Typically, 25 cycles of amplification were performed. A single product was obtained from one set of reactions that upon DNA sequencing was shown to encode a novel rabbit CE.

Since this represented a partial cDNA, both 5' and 3' RACE were used to amplify the entire coding sequence. Unique primers of 27 and 28 nucleotides, corresponding to the 5' and 3' ends respectively, were designed from the partial DNA sequence. These oligonucleotides were used in combination with the AP1 primer to amplify sequences prepared from Marathon adapted rabbit liver cDNA. Touchdown PCR (Don, R.H. et al. 1991. *Nucleic Acids Res.* 19:4008) was performed as according to the Marathon cDNA amplification protocol. A single product of approximately 420 bp was generated by the 3' primer, however no product was observed with the 5' oligonucleotide. Standard PCR amplification protocols (94°C 45 seconds, 60°C 1 minute, 72°C 1 minute, 30 cycles) resulted in a smear of DNA products with a minor band at approximately 280 bp. Attempts to increase the specificity of the reaction were unsuccessful. Therefore, DNA was isolated from the agarose gels and then ligated into pCRII-TOPO. DNA sequencing indicated the presence of the oligonucleotide RACE primers in both samples. The 3' RACE product extended 407 bp from the specific primer and encoded the terminal amino acids consistent with the published data (Korza, G. and J. Ozols. 1988. *J. Biol. Chem.* 263:3486-3495). In addition, a poly A tail was present and the original Marathon cDNA synthesis primer sequences could be identified. The 5' RACE product extended 247 bp from the CE specific primer and encoded the published amino acid sequence. An additional 18 residue hydrophobic leader sequence beginning with a methionine initiation codon was identified, consistent with the amino acids present at the N-termini of CEs derived from other species (Figure 3). The entire transcript including both untranslated 5' and 3' sequences, as determined by the RACE experiments, was 1886 nt long, very similar to that indicated by the Northern analysis. This confirmed that the cDNA described in these experiments was full length.

To amplify a full length rabbit CE cDNA, oligonucleotide primers RabNTERM (GGCAGGAATTCTGCCATGTGGCTCTG; SEQ ID NO:23) and RabCTERM (CGGGAATTCACATTCACAGCTCAATGT; SEQ ID NO:24) were

designed to create *EcoRI* sites 9 bp upstream of the ATG initiation codon and 8 bp downstream of the TGA termination codon. These were used to amplify rabbit liver cDNA using *Pfu* polymerase. The initial 5 cycles of amplification were performed as follows: 94°C, 45 seconds; 50°C, 1 minute; 72°C, 90 seconds with the annealing temperature raised to 56°C for the subsequent 25 cycles. This allowed the formation of the *EcoRI* restriction sites at the termini of the cDNA. A product of approximately 1700 bp was obtained, ligated into pUC9 restricted with *EcoRI* and the entire DNA was sequenced.

Example 3: Expression of rabbit CE in Spodoptera frugiperda Sf21

Cells (4 x 10^7) were plated in the lower chamber of an Integra CL1000 flask (Integra Biosciences, Ijamsville, MD) in 45 mls of Insect Xpress media (BioWhittaker, Walkersville, MD). To ensure adequate growth of the cells, 500 mls of complete Grace's media was added to the upper chamber of the flask. After incubation at 27°C for 2 days, baculovirus were added to the cells in the lower chamber at a multiplicity of infection of 20. Media in the lower chamber was assayed every 24 hours for carboxylesterase (CE) activity and usually harvested after 120 hours. The secreted CE was concentrated by ultrafiltration to yield approximately 1 ml of sample containing approximately 30,000 micromoles/ml of enzyme activity.

Example 4: *In vitro* biological activity of rabbit CE

The *in vitro* activity of rabbit liver CE was examined in tumor cell lines. The growth inhibition of CPT-11 was compared in cells with and without active rabbit CE. The cells used were Rh30 cells (10^7) that had been electroporated with 20 μg of IRES plasmid DNA or plasmid containing CE cDNA in a volume of 200 μl of phosphate buffered saline. Optimized conditions for electroporation were achieved using 180 V and 960 uF. The cells were plated into 75 cm^2 flasks in fresh

media and 500 μg G418/ml added 48 hours following transfection to select for cells expressing the neo gene and the CE. Cells were grown for a minimum of 10 days before use in growth inhibition experiments.

In the first assay, CPT-11 was pre-incubated with rabbit liver CE to produce SN-38 prior to exposure of the cells to drug. Specifically, 0.5 to 5 units of CE were incubated with 1 μM CPT-11 at 37°C in DMEM medium for 2 hours. Each reaction mixture was then filter-sterilized and Rh30 cells were exposed to drug for one hour, at which time the medium was replaced with drug-free medium containing serum. Enzyme that had been inactivated by boiling for five minutes prior to incubation with drug or CPT-11 to which no enzyme had been added were used as negative controls. Cells were allowed to grow for 3 cell doubling times and cell numbers were determined.

In the second type of growth inhibition assay, Rh30 cells that had been transfected with either pIRES parent plasmid DNA or the plasmid containing the rabbit CE cDNA were exposed to different concentrations of CPT-11. Drug was added to tissue culture medium of each of the stably transfected cell lines for two hours, after which time the medium was replaced with drug-free medium. Cells were then allowed to grow for 3 cell doublings as before. Results were expressed as the concentration of drug required to reduce cell growth to 50% of control cells, or IC_{50}.

Results showed that extracts of the transfected cells contained greater than 60-fold more CE activity than controls as determined by the conversion of o-nitrophenyl acetate to o-nitrophenol. Further, the Rh30pIRES cells transfected with rabbit CE were greater than 8-fold more sensitive to CPT-11 than controls, as shown by a decrease in the IC_{50} values. Therefore, Rh30 cells stably transfected with rabbit CE were more sensitive to growth inhibition by CPT-11 than cells that did not contain the cDNA for rabbit CE.

Example 5: Rabbit CE activates APC, a novel prodrug

In addition to efficiently converting CPT-11 to the active compound SN-38, experiments were also performed demonstrating the ability of rabbit liver CE to convert the inactive metabolic end product APC to SN-38. No known human enzyme activates APC. Figure 6 shows the kinetics of conversion of APC to SN-38 by 50 units of rabbit liver CE in an *in vitro* reaction. Figure 7 shows that U-373 glioma cells that express the rabbit liver CE, but not human alveolar macrophage carboxylesterase which is 85% homologous to the rabbit enzyme, are sensitized to the growth inhibitory effects of APC. Thus, the combination of APC and sensitization of selected tumor cells with rabbit liver CE as described above can be used to produce a tumor-specific cell death while greatly minimizing the toxic side effects associated with administration of chemotherapy.

Example 6: Use of rabbit CE in an *in vivo* model for MRD

A xenograft model for MRD has been developed to demonstrate the effectiveness of the combination of rabbit CE and prodrug in the prevention of MRD. In this model, treatment of immune-deprived mice, i.e., SCID mice, bearing human NB-1691 xenografts with 10 mg/kg CPT-11 daily for 5 days on two consecutive weeks results in complete regression of the tumor. However, within 4-6 weeks, tumors are palpable in the exact position where the original xenograft was implanted. Since these tumors arise from cells that survived the initial cycle of chemotherapy, this model therefore mimics results seen in patients following surgical resection of the primary tumor and subsequent regrowth at the same site.

Experiments were performed in this model to compare the responses of mice bearing human Rh30 and Rh30pIRES$_{rabbit}$ xenografts. Rh30 rhabdosarcoma xenografts were transfected with pIRESneo plasmid containing the cDNA for rabbit liver CE and selected with G418. Expression of CE was confirmed by

biochemical assay using the CE substrate o-NPA and maintained for at least 12 weeks. Two groups of SCID mice were then injected with the transfected $Rh30pIRES_{rabbit}$ cells subcutaneously into the flanks. A third group of control mice was injected in identical fashion with Rh30 cells not transfected with the plasmid. When the tumors reached a size of approximately 1 cm^3, 2.5 mg CPT-11/kg/day was administered five days each week for two weeks (one cycle of therapy), repeated every 21 days for a total of three cycles (over 8 weeks) to one group of mice injected with the transfected $Rh30pIRES_{rabbit}$ cells and the third group of control mice.

The tumors expressing rabbit CE regressed completely and did not regrow during the 12 weeks of the study (Figure 9B). In contrast, tumors not expressing the CE regressed only transiently, regrowing within one week after CPT-11 treatment had stopped (Figure 9C).

Similar studies were performed employing U373 glioblastoma cells transfected with the pIRESneo plasmid or with pIRESneo containing the cDNA for rabbit liver CE and selected with G418. Expression of CE in the tumor cells was confirmed by biochemical assay using the substrate o-NPA. Cells were injected subcutaneously into the flanks of the SCID mice. When tumors reached approximately 1 cm^3 in size, CPT-11 was administered daily for five days each week as described above, for three cycles, at a dose of 7.5 mg/kg/day.

The U373 cells that expressed rabbit CE were also more sensitive to CPT-11. Xenografts established from cells transfected with the plasmid encoding rabbit CE regressed completely while xenografts from cells transfected with the control plasmid showed stable disease with no significant regression. These data in two different human tumor cell types demonstrate the *in vivo* efficacy of rabbit CE to sensitize tumor cells to CPT-11.

Adenovirus expressing the rabbit CE under control of a tumor-specific promoter administered subcutaneously at the

site of xenograft implantation in this model during the 4 to 6 week period when tumors are not present, followed by treatment with low doses of CPT-11, also demonstrates the effectiveness of the virus at preventing MRD. Typically, since tumor regression is complete 3 weeks after commencing treatment with CPT-11, adenovirus/drug administration begins at week 4. In initial experiments, adenovirus is administered on Monday, Wednesday, Friday and CPT-11 is given daily on Tuesday through Saturday for two cycles. This permits determination of the most tolerated, effective schedule and dosage of adenovirus and CPT-11 administration to produce the longest delay of recurrent disease. These results are used to determine correct dosage for treatment of human MRD. The starting point for the animal experiments is injection of 10^5 to 10^8 pfu of adenovirus containing the rabbit CE of the present invention.

Example 7: Use of rabbit CE/prodrug to purge bone marrow of tumor cells

Intravenous injection of human neuroblastoma NB-1691 tumor cells into immune-deprived mice results in the development of widespread metastatic disease with death occurring on days 36-38. Since both synaptophysin and tyrosine hydroxylase expression are specific for neuroblastoma cells, RT/PCR analysis of these mRNAs can detect tumor cells present in mixed populations of cells. Circulating neuroblastoma cells can be detected in the peripheral blood of these animals 36 days after injection with NB-1691. Studies will then determine whether the bone marrow of these same animals contains neuroblastoma cells. The success of *ex vivo* purging of bone marrow with the rabbit liver CE/CPT-11 combination is demonstrated by transplanting purged bone marrow into lethally irradiated mice. If mice remain disease free for extended periods of time, this indicates that the adenoviral CE/prodrug purging therapy kills neuroblastoma cells in the donor marrow.

Example 8: Treatment of Minimal Residual Disease (MRD) in humans

The rabbit CE in combination with CPT-11 or other prodrugs activated by this enzyme is used to purge bone marrow of residual tumor cells prior to autologous bone marrow transplants to prevent recurrence of local MRD following removal of bulk tumor by surgery or chemotherapy. Following debulking of the primary tumor, adenovirus containing the rabbit liver CE under the control of a tumor-responsive promoter is applied to the tumor margins at either the time of surgery, by stereotaxic injection, or by implantation of a time-release polymer or other material. Anti-tumor effect of single application at time of surgery is compared with the effect produced by repetitive or time-release use of adenoviral constructs. Adenovirus dose ranges from 10^6 to 10^{10} plaque-forming units as has been reported to be effective for intratumoral injection of adenovirus (Heise, C. et al. 1977. *Nature Med.* 3:639-645). CPT-11 is administered over the next one to six weeks to elicit tumor selective cell kill. Doses and schedules of CPT-11 are determined in clinical trials of CPT-11 by itself and in human xenograft model systems to produce maximal tumor effect.

Example 9: Purging bone marrow of tumor cells in humans

Tumor cells that contaminate bone marrow used for autologous transplant contribute to relapse of disease. Therefore, the rabbit liver CE is used in combination with a suitable prodrug to eradicate tumor cells in marrow samples to be used for transplant. This approach maintains the viability of hematopoietic cells required for reconstitution. Bone marrow samples are transduced *ex vivo* with adenovirus containing the rabbit liver CE cDNA, using a multiplicity of infection (moi) that will infect 100% of the tumor cells. Typically, a moi of 0.5 to 10 is adequate for tumor cells, while a moi of 100 to 1,000 is required to transduce a majority of hematopoietic progenitor cells. Two days

following adenoviral transduction, cells are exposed for two hours to a range of CPT-11 concentrations, usually varying from 50 nM to 100 μM. Two days after exposure to drug, the marrow sample is harvested and stored for reinfusion into the patient and reconstitution of a tumor-free marrow.

What is claimed is:

1. An isolated polynucleotide encoding a carboxylesterase capable of metabolizing a chemotherapeutic prodrug and inactive metabolites thereof to active drug.

2. The isolated polynucleotide of claim 1 consisting of a cDNA of Figure 4 (SEQ ID NO:20).

3. The isolated polynucleotide of claim 1 consisting of a cDNA encoding a carboxylesterase consisting of amino acids 1-543 of Figure 4 (SEQ ID NO:26).

4. An isolated polynucleotide capable of hybridizing with a polynucleotide of claim 1.

5. A vector comprising the polynucleotide of claim 1.

6. A host cell comprising the vector of claim 5.

7. A polypeptide encoded by the polynucleotide of claim 1.

8. A composition comprising the polynucleotide of claim 1 and a disease-specific responsive promoter.

9. The composition of claim 8 wherein said disease-specific responsive promoter is a *myc* promoter.

10. The composition of claim 9 wherein the myc promoter is ODC.

11. A method for sensitizing tumor cells to a chemotherapeutic prodrug comprising transfecting selected tumor cells with the composition of claim 8.

12. A method of inhibiting tumor cell growth comprising:

(a) sensitizing tumor cells in accordance with the method of claim 11; and

(b) contacting said sensitized tumor cells with a chemotherapeutic prodrug so that tumor cell growth is inhibited.

13. The method of claim 12 wherein the chemotherapeutic prodrug is selected from a group consisting of CPT-11 and APC.

14. A method of inhibiting tumor recurrence in a patient comprising:

(a) surgically removing a tumor from a patient;

(b) administering the composition of claim 8 at the site of tumor resection; and

(c) administering a chemotherapeutic prodrug systemically so that tumor recurrence is inhibited.

15. The method of claim 14 wherein the chemotherapeutic prodrug is selected from a group consisting of CPT-11 and APC.

16. A method of purging bone marrow cells of tumor cells comprising:

(a) removing bone marrow cells from a patient; and

(b) contacting the bone marrow cells with the composition of claim 8 and a chemotherapeutic prodrug.

17. A method of inhibiting tumor growth in a patient comprising administering to a patient a composition of claim 8 and APC.

18. A drug screening assay for identifying drugs that are activated by a carboxylesterase enzyme comprising:

(a) transfecting cells in culture with the polynucleotide of claim 1;

(b) contacting said cells with a candidate drug; and

(c) determining growth or survival of said cells in the presence of the candidate drug.

19. A drug screening assay for identifying compounds containing a COOC ester linkage that are activated by a carboxylesterase enzyme comprising:

(a) adding a known concentration of a test compound containing a COOC ester linkage to an assay tube containing a biological buffer and a polypeptide of claim 7;

(b) incubating the assay tubes; and

(c) analyzing contents of the assay tube for cleavage fragments of the test compound at the COOC ester linkage wherein the presence of the cleavage fragment is indicative of activation of the compound by the carboxylesterase enzyme.

AMENDED CLAIMS

[received by the International Bureau on 05 August 1999 (05.08.99);
new claims 20, 21 and 22 added; remaining claims unchanged (1 page)]

19. A drug screening assay for identifying compounds containing a COOC ester linkage that are activated by a carboxylesterase enzyme comprising:

(a) adding a known concentration of a test compound containing a COOC ester linkage to an assay tube containing a biological buffer and a polypeptide of claim 7;

(b) incubating the assay tubes; and

(c) analyzing contents of the assay tube for cleavage fragments of the test compound at the COOC ester linkage wherein the presence of the cleavage fragment is indicative of activation of the compound by the carboxylesterase enzyme.

20. A method for delivering carboxylesterases to selected tumor cells comprising:

(a) selecting an antibody specific for a marker on the selected tumor cells;

(b) conjugating the tumor-specific marker antibody to the carboxylesterase to form a complex; and

(c) administering the complex so that the carboxylesterases are delivered to the selected tumor cells.

21. A method of inhibiting growth of selected tumor cells in a patient comprising delivering to the selected tumor cells in the patient carboxylesterases in accordance with the method of claim 20.

22. The method of claim 21 further comprising administering to the patient a chemotherapeutic prodrug and inactive metabolites thereof which are metabolized to active drug by carboxylesterases.

SEQUENCE LISTING

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Potter, Philip M.
Peter, Houghton J.

<120> Compositions and Methods for Sensitizing and Innibiting Growth of Human Tumor Cells

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Glu Gly Lys Leu Asp Gln Lys Thr Ala Thr Glu Leu Leu Trp Lys Ser
370 375 380

Tyr Pro Ile Val Asn Val Ser Lys Glu Leu Thr Pro Val Ala Thr Glu
385 390 395 400

Lys Tyr Leu Gly Gly Thr Asp Asp Pro Val Lys Lys Lys Asp Leu Phe
405 410 415

Leu Asp Met Leu Ala Asp Leu Leu Phe Gly Val Pro Ser Val Asn Val
420 425 430

Ala Arg His His Arg Asp Ala Gly Ala Pro Thr Tyr Met Tyr Glu Tyr
435 440 445

Arg Tyr Arg Pro Ser Phe Ser Ser Asp Met Arg Pro Lys Thr Val Ile
450 455 460

Gly Asp His Gly Asp Glu Ile Phe Ser Val Leu Gly Ala Pro Phe Leu
465 470 475 480

Lys Glu Gly Ala Thr Glu Glu Glu Ile Lys Leu Ser Lys Met Val Met
485 490 495

Lys Tyr Trp Ala Asn Phe Ala Arg Asn Gly Asn Pro Asn Gly Glu Gly
500 505 510

Leu Pro Gln Trp Pro Ala Tyr Asp Tyr Lys Glu Gly Tyr Leu Gln Ile
515 520 525

Gly Ala Thr Thr Gln Ala Ala Gln Lys Leu Lys Asp Lys Glu Val

530 535 540

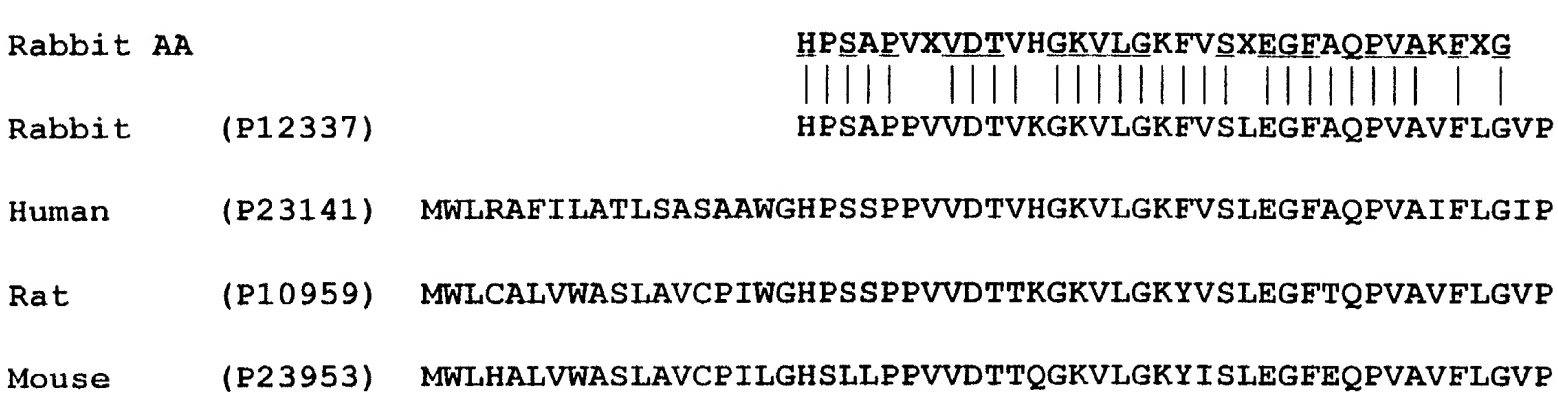

Residue#
Rabbit AA
HPSAPVXVDTVHGKVLGKFVSXEGFAQPVAKFXG
Rabbit (P12337)
HPSAPPVVDTVKGKVLGKFVSLEGFAQPVAVFLGVP
Human (P23141) MWLRAFILATLSASAAWGHPSSPPVVDTVHGKVLGKFVSLEGFAQPVAIFLGIP
Rat (P10959) MWLCALVWASLAVCPIWGHPSSPPVVDTTKGKVLGKYVSLEGFTQPVAVFLGVP
Mouse (P23953) MWLHALVWASLAVCPILGHSLLPPVVDTTQGKVLGKYISLEGFEQPVAVFLGVP

FIGURE 1

Residue #	1				5
Amino acid sequence	His	Pro	Ser	Ala	Pro
Coding Sequence	CAC	CCA	AGC	GCA	CC
	T	G	T	G	
		C		C	
	T		T		
Oligonucleotide Rab51	CAC	CCI	AGC	GCI	CC
	T		T		
Amino acid sequence	His	Pro	Ser	Ala	Pro
Coding Sequence	CAC	CCA	AGC	GCA	CC
	T	G	T	G	
		C		C	
	T		T		
Oligonucleotide Rab 52	CAC	CCI	TCI	GCI	CC

Residue #	518						
Amino acid sequence	Ala	Phe	Trp	Thr	Glu	Leu	Trp
Coding sequence	GCA	TTC	TGG	ACA	GAA	CTA	TGG
	G	T		G	G	G	
	C			C		C	
	T			T		T	
Reverse complement	CCA	AAG	TTC	AGT	CCA	GAA	AGC
		G	C	G		A	G
		C		C			C
		T		T			T
Oligonucleotide Rab 31	CCA	IAG	TTC	IGT	VVA	GAA	IGC
			C			A	
Amino acid sequence	Ala	Phe	Trp	Thr	Glu	Leu	Trp
Coding sequence	GCA	TTC	TGG	ACA	GAA	CTA	TGG
	G	T		G	G	G	
	C			C			
	T			T			
Reverse compliment	CCA	TAA	TTC	AGT	CCA	GAA	AGT
		C	C	G		A	G
				C			C
				T			T
Oligonucleotide	CCA	TAA	TTC	IGT	CCA	GAA	IGC
		C	C			A	

FIGURE 2

Residue #		
Rabbit		*MWLCALALASLAACTAWG*HPSAPPVVDTVK
Rat	(P10959)	MWLCALVWASLAVCPIWGHPSSPPVVDTTK
Human	(P23141)	MWLRAFILATLSASAAWGHPSSPPVVDTVH
Rat	(P16303)	MRLYPLVWLFLAACTAWGYPSSPPVVNTVK
Mouse	(P23953)	MWLHALVWASLAVCPILGHSLLPPVVDTTQ

FIGURE 3

```
GAATTCTGCC  ATG TGG CTC TGT GCA TTG GCC CTG GCC TCT CTC GCC GCT TGC ACG GCT TGG GGG CAC CCG TCT GCA
            Met Trp Leu Cys Ala Leu Ala Leu Ala Ser Leu Ala Ala Cys Thr Ala Trp Gly His Pro Ser Ala

CCA CCT GTG GTA GAT ACT GTG CAT GGC AAA GTC CTG GGG AAG TTC GTC AGC TTA GAA GGA TTT GCA CAG CCC GTG
Pro Pro Val Val Asp Thr Val His Gly Lys Val Leu Gly Lys Phe Val Ser Leu Glu Gly Phe Ala Gln Pro Val

GCC GTC TTC CTG GGA GTC CCC TTC GCC AAG CCC CCT CTT GGA TCC CTG AGG TTT GCA CCA CCA CAG CCT GCA GAA
Ala Val Phe Leu Gly Val Pro Phe Ala Lys Pro Pro Leu Gly Ser Leu Arg Phe Ala Pro Pro Gln Pro Ala TCA

TTG AGC CAC GTG AAG AAC ACC ACC TCC TAC CCT CCC ATG TGC TCC CAG GAC GCA GTA TCA GGG CAT ATG CTC Glu
Ser Trp Ser His Val Lys Asn Thr Thr Ser Tyr Pro Pro Met Cys Ser Gln Asp Ala Val Ser Gly His Met TCG
GAG CTC TTC ACC AAC AGA AAA GAG AAC ATC CCT CTT AAG TTT TCT GAA GAC TGC CTT TAC CTG AAT ATT TAC
Leu Ser Glu Leu Phe Thr Asn Arg Lys Glu Asn Ile Pro Leu Lys Phe Ser Glu Asp Cys Leu Tyr Leu Asn Ile

ACC CCT GCT GAC CTG ACA AAG AGA GGC AGG CTG CCG GTG ATG GTG TGG ATC CAT GGA GGT GGT CTG ATG GTG GGT
Tyr Thr Pro Ala Asp Leu Thr Lys Arg Gly Arg Leu Pro Val Met Val Trp Ile His Gly Gly Gly Leu Met Val

GGA GCA TCA ACC TAT GAT GGC CTG GCT CTT TCT GCC CAT GAG AAC GTG GTG GTG GTG ACC ATT CAG TAC CGC CTG
Gly Gly Ala Ser Thr Tyr Asp Gly Leu Ala Leu Ser Ala His Glu Asn Val Val Val Val Thr Ile Gln Tyr Arg

GGC ATC TGG GGA TTC TTC AGC ACA GGA GAT GAG CAC AGC CGA GGG AAC TGG GGT CAC TTG GAC CAG GTG GCT GCG
Leu Gly Ile Trp Gly Phe Phe Ser Thr Gly Asp Glu His Ser Arg Gly Asn Trp Gly His Leu Asp Gln Val Ala

CTG CGG TGG GTC CAG GAC AAC ATT GCC AAC TTT GGA GGG GAC CCA GGC TCT GTG ACC ATC TTT GGA GAG TCA GCA
Ala Leu Arg Trp Val Gln Asp Asn Ile Ala Asn Phe Gly Gly Asp Pro Gly Ser Val Thr Ile Phe Gly Glu Ser
```

FIGURE 4

```
GGA GGT CAA AGT GTC TCT ATC CTT CTA TTA TCC CCC CTG ACC AAG AAT CTC TTC CAT CGA GCA ATT TCC GAG AGT
Ala Gly Gly Gln Ser Val Ser Ile Leu Leu Leu Ser Pro Leu Thr Lys Asn Leu Phe His Arg Ala Ile Ser Glu

GGC GTG GCC CTC CTT TCC AGT CTC TTC AGG AAG AAC ACC AAG TCC TTG GCT GAG AAA ATT GCC ATC GAA GCT GGG
Ser Gly Val Ala Leu Leu Ser Ser Leu Phe Arg Lys Asn Thr Lys Ser Leu Ala Glu Lys Ile Ala Ile Glu Ala

TGT AAA ACC ACC ACC TCG GCT GTC ATG GTT CAC TGC CTG CGC CAG AAG ACA GAG GAA GAA CTC ATG GAG GTG ACA
Gly Cys Lys Thr Thr Thr Ser Ala Val Met Val His Cys Leu Arg Gln Lys Thr Glu Glu Glu Leu Met Glu Val

TTG AAA ATG AAA TTT ATG GCT CTA GAT CTA GTT GGC GAC CCC AAA GAG AAC ACC GCC TTC CTG ACC ACT GTG ATT
Thr Leu Lys Met Lys Phe Met Ala Leu Asp Leu Val Gly Asp Pro Lys Glu Asn Thr Ala Phe Leu Thr Thr Val

GAT GGG GTG CTG CTG CCA AAA GCA CCT GCA GAG ATT CTG GCA GAG AAG AAA TAC AAC ATG CTG CCC TAC ATG GTG
Ile Asp Gly Val Leu Leu Pro Lys Ala Pro Ala Glu Ile Leu Ala Glu Lys Lys Tyr Asn Met Leu Pro Tyr Met

GGA ATC AAC CAG CAA GAG TTT GGC TGG ATT ATC CCA ATG CAA ATG CTG GGC TAT CCA CTC TCT GAA GGC AAA CTG
Val Gly Ile Asn Gln Gln Glu Phe Gly Trp Ile Ile Pro Met Gln Met Leu Gly Tyr Pro Leu Ser Glu Gly Lys

GAC CAG AAG ACA GCT ACA GAA CTC TTG TGG AAG TCC TAC CCC ATT GTC AAT GTC TCT AAG GAG CTG ACT CCA GTG
Leu Asp Gln Lys Thr Ala Thr Glu Leu Leu Trp Lys Ser Tyr Pro Ile Val Asn Val Ser Lys Glu Leu Thr Pro

GCC ACT GAG AAG TAT TTA GGA GGG ACA GAT GAC CCT GTC AAA AAG AAA GAC TTG TTC CTG GAC ATG CTT GCA GAT
Val Ala Thr Glu Lys Tyr Leu Gly Gly Thr Asp Asp Pro Val Lys Lys Lys Asp Leu Phe Leu Asp Met Leu Ala

TTG TTA TTT GGT GTC CCA TCT GTG AAT GTG GCT CGT CAC CAC AGA GAT GCT GGA GCC CCC ACC TAT ATG TAT GAG
Asp Leu Leu Phe Gly Val Pro Ser Val Asn Val Ala Arg His His Arg Asp Ala Gly Ala Pro Thr Tyr Met Tyr
```

FIGURE 4 CONTINUED

```
TAT CGG TAT CGC CCA AGC TTC TCA TCA GAC ATG AGA CCC AAG ACA GTG ATA GGG GAC CAT GGA GAT GAG ATC TTC
Glu Tyr Arg Tyr Arg Pro Ser Phe Ser Ser Asp Met Arg Pro Lys Thr Val Ile Gly Asp His Gly Asp Glu Ile

TCT GTC TTA GGA GCC CCG TTT TTA AAA GAG GGT GCC ACA GAA GAG GAG ATC AAA CTG AGC AAG ATG GTG ATG AAA
Phe Ser Val Leu Gly Ala Pro Phe Leu Lys Glu Gly Ala Thr Glu Glu Glu Ile Lys Leu Ser Lys Met Val Met


TAC TGG GCC AAC TTT GCT AGG AAT GGG AAT CCC AAT GGA GAA GGG CTT CCT CAA TGG CCA GCA TAT GAC TAC AAG
Lys Tyr Trp Ala Asn Phe Ala Arg Asn Gly Asn Pro Asn Gly Glu Gly Leu Pro Gln Trp Pro Ala Tyr Asp Tyr

GAA GGT TAC CTG CAG ATT GGA GCC ACC ACC CAG GCA GCC CAG AAA CTG AAA GAC AAG GAA GTG GCT TTC TGG ACT
Lys Glu Gly Tyr Leu Gln Ile Gly Ala Thr Thr Gln Ala Ala Gln Lys Leu Lys Asp Lys Glu Val Ala Phe Trp

GAG CTC TGG GCC AAG GAG GCA GCA AGG CCA CGT GAG ACA GAG CAC ATT GAG CTG TGA ATT GAATTC
Thr Glu Leu Trp Ala Lys Glu Ala Ala Arg Pro Arg Glu Thr Glu His Ile Glu Leu
```

FIGURE 4 CONTINUED

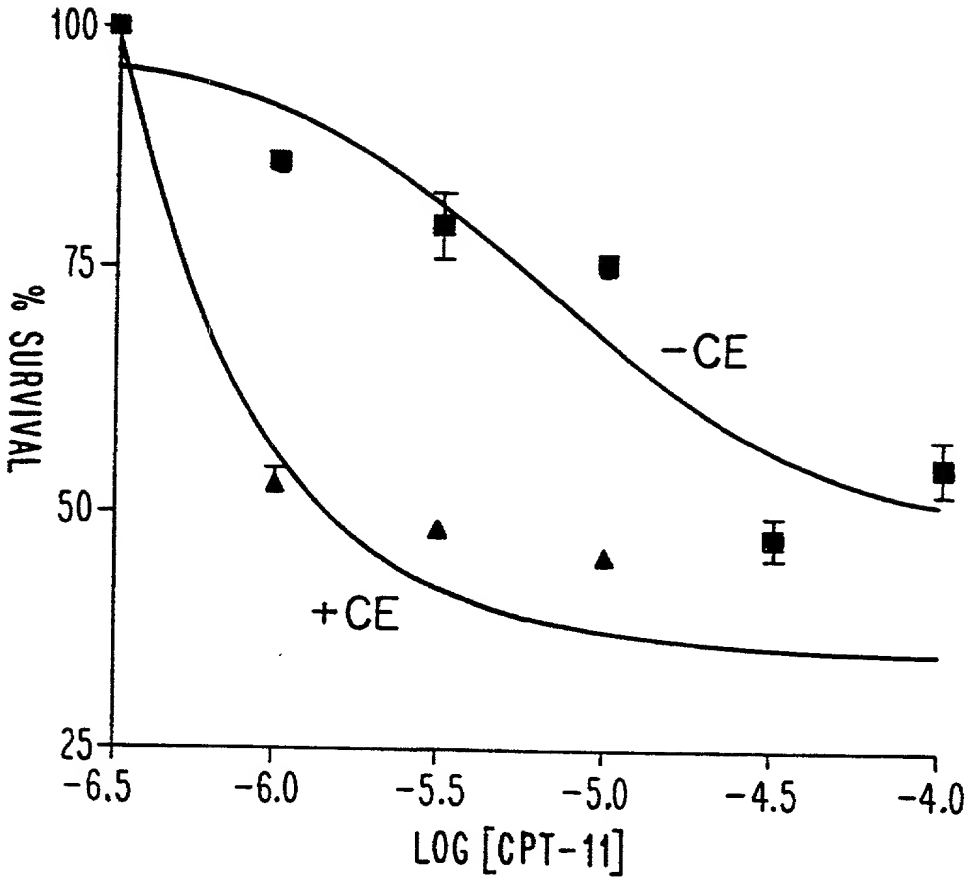


Fig. 5

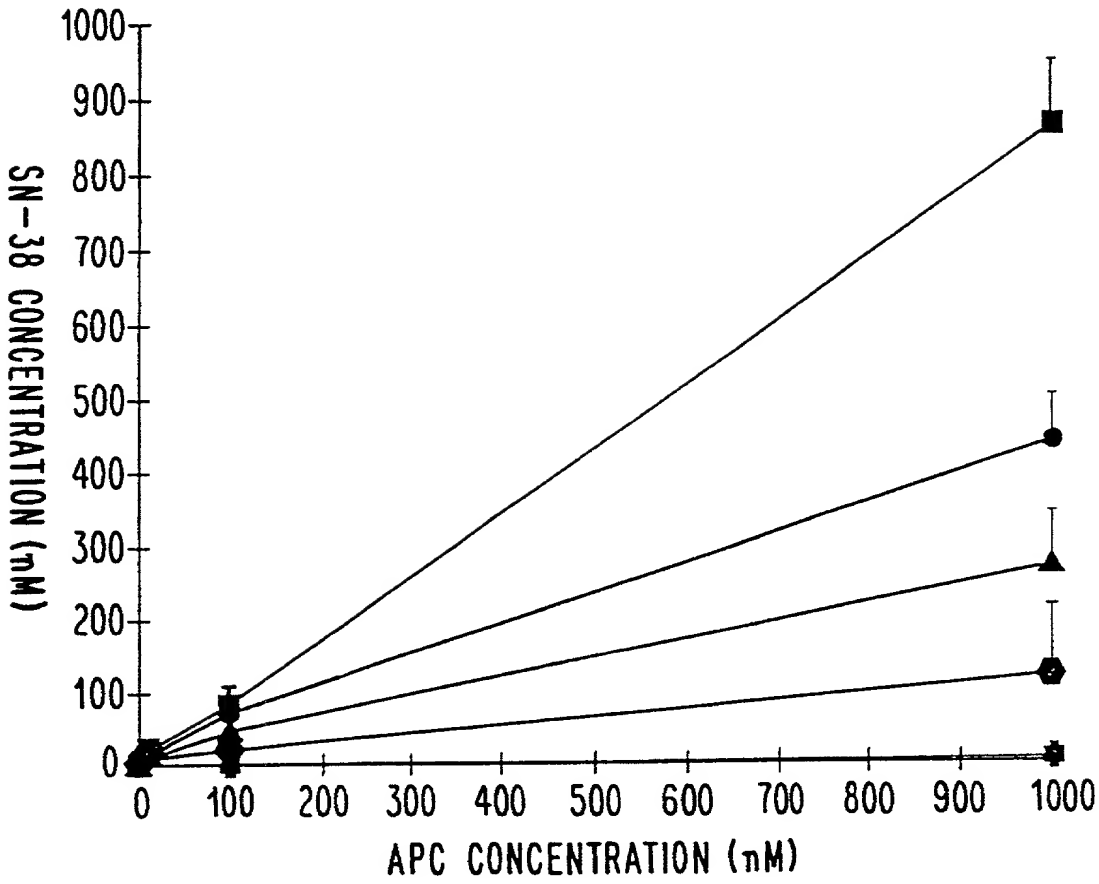

SN-38 CONCENTRATION (nM)
1000
900
800
700
600
500
400
300
200
100
0
0
100
200
300
400
500
600
700
800
900
1000
APC CONCENTRATION (nM)

Fig. 6

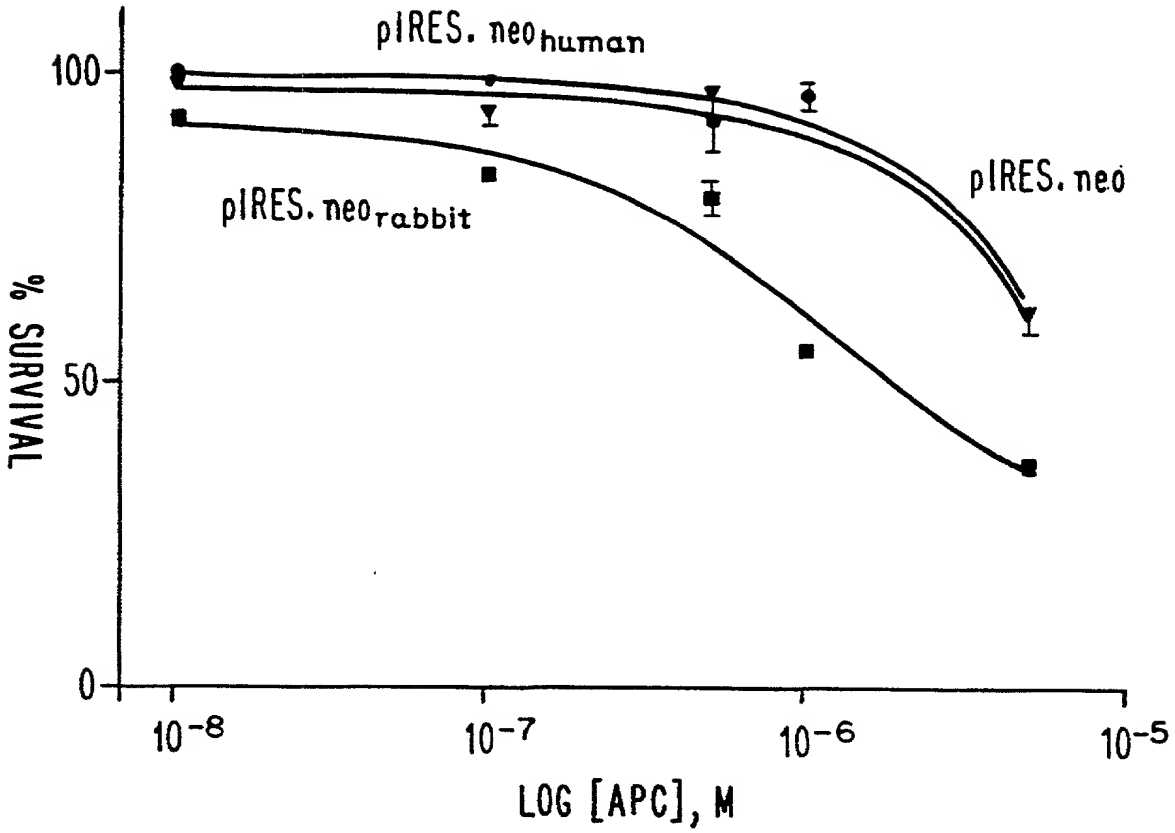
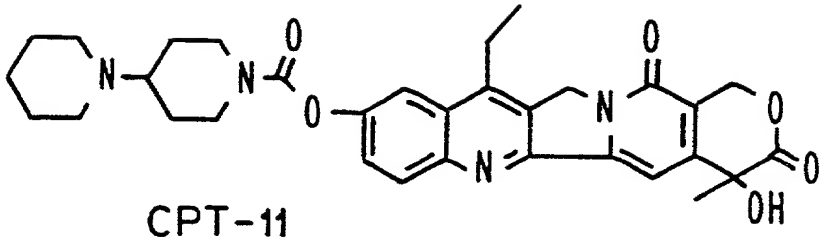
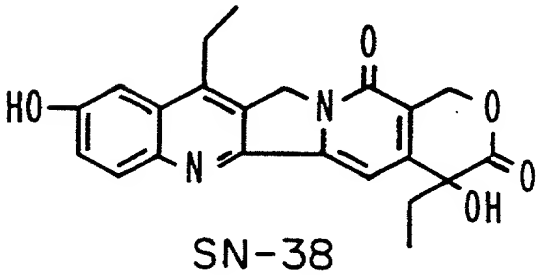

pIRES. neo human
pIRES. neo
pIRES. neo rabbit
100
50
0
% SURVIVAL
10-8
10-7
10-6
10-5
LOG [APC], M

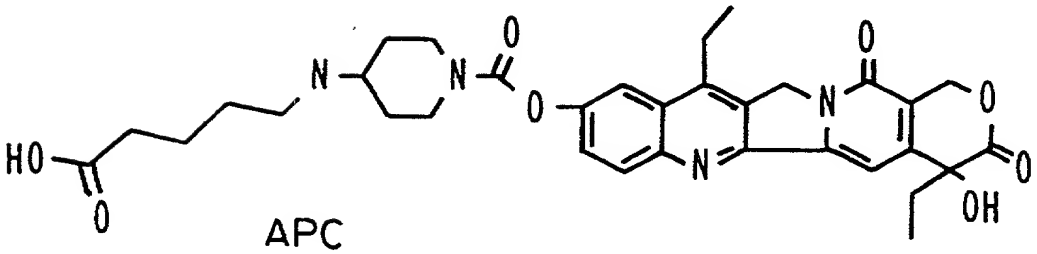
Fig. 7



CPT-11



SN-38



APC

Fig. 8

Fig. 9A

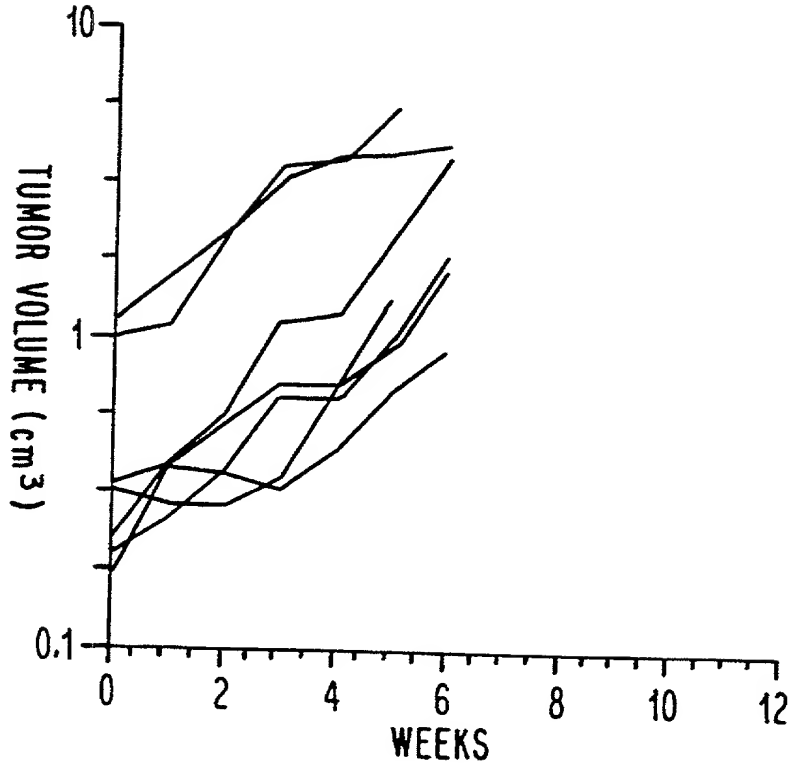
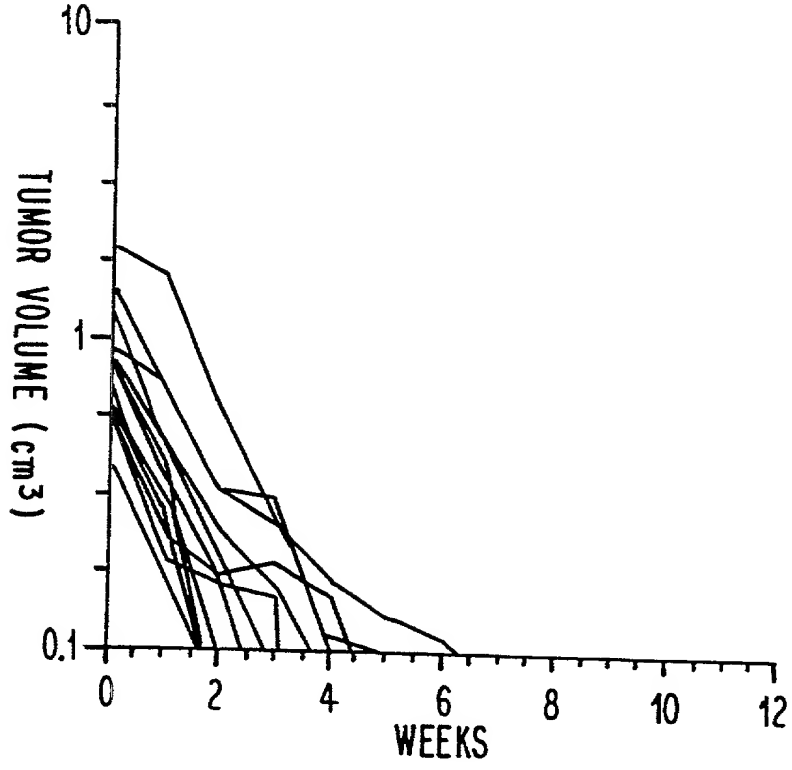

10
1
0.1
TUMOR VOLUME (cm3)
0
2
4
6
8
10
12
WEEKS

Fig. 9B


10
1
0.1
TUMOR VOLUME (cm3)
0
2
4
6
8
10
12
WEEKS

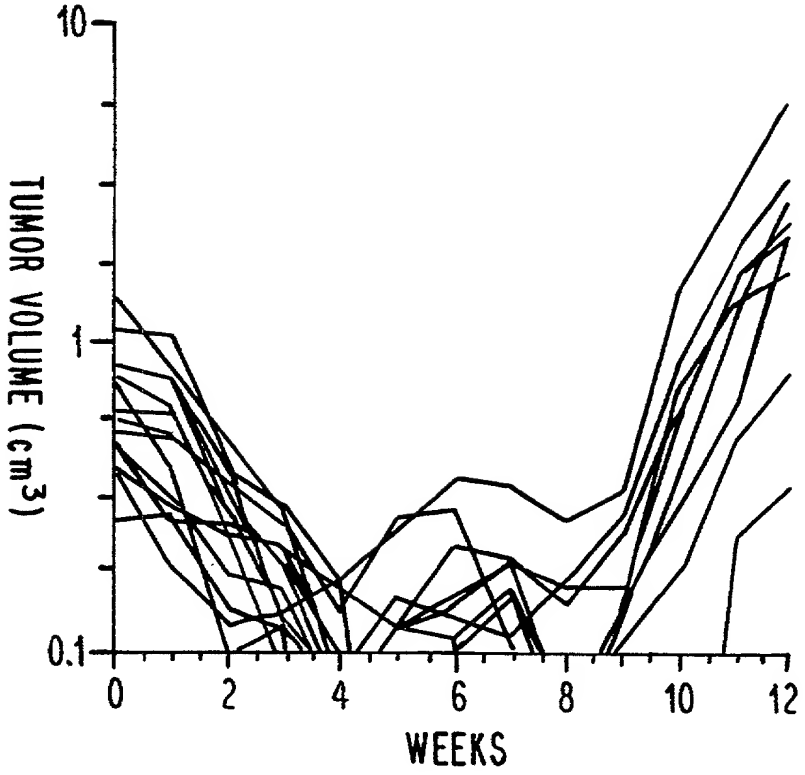


Fig. 9C

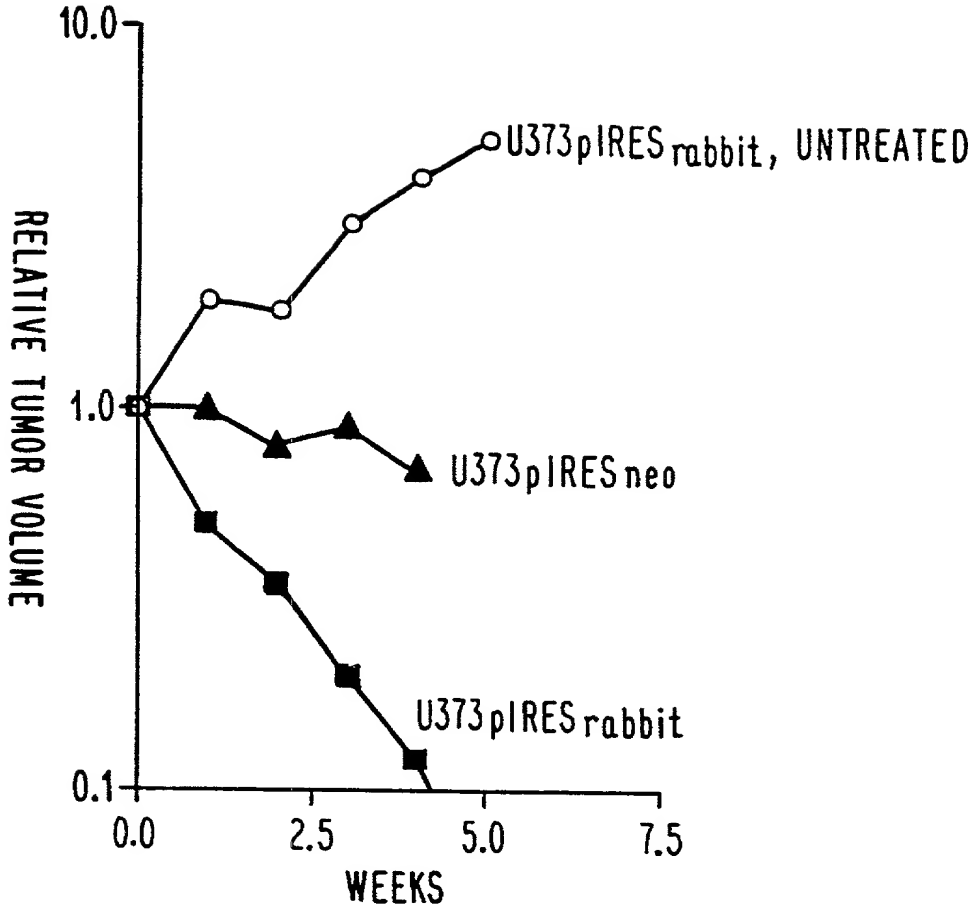

10.0
1.0
0.1
RELATIVE TUMOR VOLUME
U373pIRES rabbit, UNTREATED
U373pIRES neo
U373pIRES rabbit
0.0
2.5
5.0
7.5
WEEKS

Fig. 10

Docket No.
SJ-0011

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMPOSITIONS AND METHODS FOR SENSITIZING AND INHIBITING GROWTH OF HUMAN TUMOR CELLS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	☐
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	☐
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/075,258	**February 19, 1998**
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

PCT/US99/03171	**February 12, 1999**	
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

3-00

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~~Peter J. Houghton~~

Third inventor's signature

Date
8-17-00

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Citizenship
US

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Full name of fourth inventor, if any

Fourth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of fifth inventor, if any

Fifth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth inventor, if any

Sixth inventor's signature

Date

Residence

Citizenship

Post Office Address

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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Sole or first inventor's signature Mary K. Danks Date 8/17/00
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Second inventor's signature Date 8/17/00
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Citizenship **US**
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Memphis, Tennessee